



ATTORNEY DOCKET NO.  
069878.0104 (ET004)

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : James M. Florence  
Serial No.: 09/844,170  
Filing Date: April 25, 2001  
Group No.: 2874  
Examiner: Hemang Sanghavi  
Title: METHOD AND APPARATUS FOR  
SWITCHING OPTICAL SIGNALS WITH  
A PHOTON BAND GAP DEVICE

RECEIVED  
FEB - 3 2003  
TECHNOLOGY CENTER 2800

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

I hereby certify that this document, and any enclosures, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on this 29th day of January, 2003, addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

*Willie Jiles*

Sir:

Express Mail Certificate  
EV 193173366 US

Applicant transmits herewith an Amendment in the above-identified patent application. An enclosed check includes an additional filing fee, which has been calculated as shown below.

	CLAIMS AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	EXTRA	LARGE ENTITY RATE	ADDITIONAL FEE
Total Claims:	21	-	22 =	0	x \$ 18 =	\$ 00.00
Independent Claims:	7	-	3 =	4	x \$84 =	\$ 336.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 336.00

Please credit any overpayment, or charge any additional fee required by this paper, or by any accompanying documents, to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
BAKER BOTTS L.L.P.

*T. Murray Smith*

T. Murray Smith  
Attorney for Applicants  
Reg. No. 30,222  
Phone: (214) 953-6684

Date: January 29, 2003

RECEIVED  
MAY - 2 2003  
TECHNOLOGY CENTER 2800



ATTORNEY DOCKET NO.  
069878.0104 (ET004)

COPY  
1

PATENT APPLICATION  
09/844,170

RECEIVED  
FEB -3 2003  
TECHNOLOGY CENTER 2800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: James M. Florence  
Serial No.: 09/844,170  
Filing Date: April 25, 2001  
Group No.: 2874  
Examiner: Hemang Sanghavi  
Title: METHOD AND APPARATUS FOR  
SWITCHING OPTICAL SIGNALS WITH  
A PHOTON BAND GAP DEVICE

*Copy*

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that  
the enclosed documents  
are being deposited with  
the United States Postal  
Service "Express Mail  
Post Office to  
Addressee", service under  
37 C.F.R. 1.10 on this  
29th day of January,  
2003, addressed to  
Assistant Commissioner  
for Patents, Washington,  
D.C. 20231.

*Willie Tates*

Express Mail Certificate  
EV 193173366 US

AMENDMENT

A Request for Continued Examination (RCE) has been  
filed in the above-identified patent application. Kindly  
enter the following amendments prior to issuance of the first  
Office Action in the RCE. (Please see following pages).